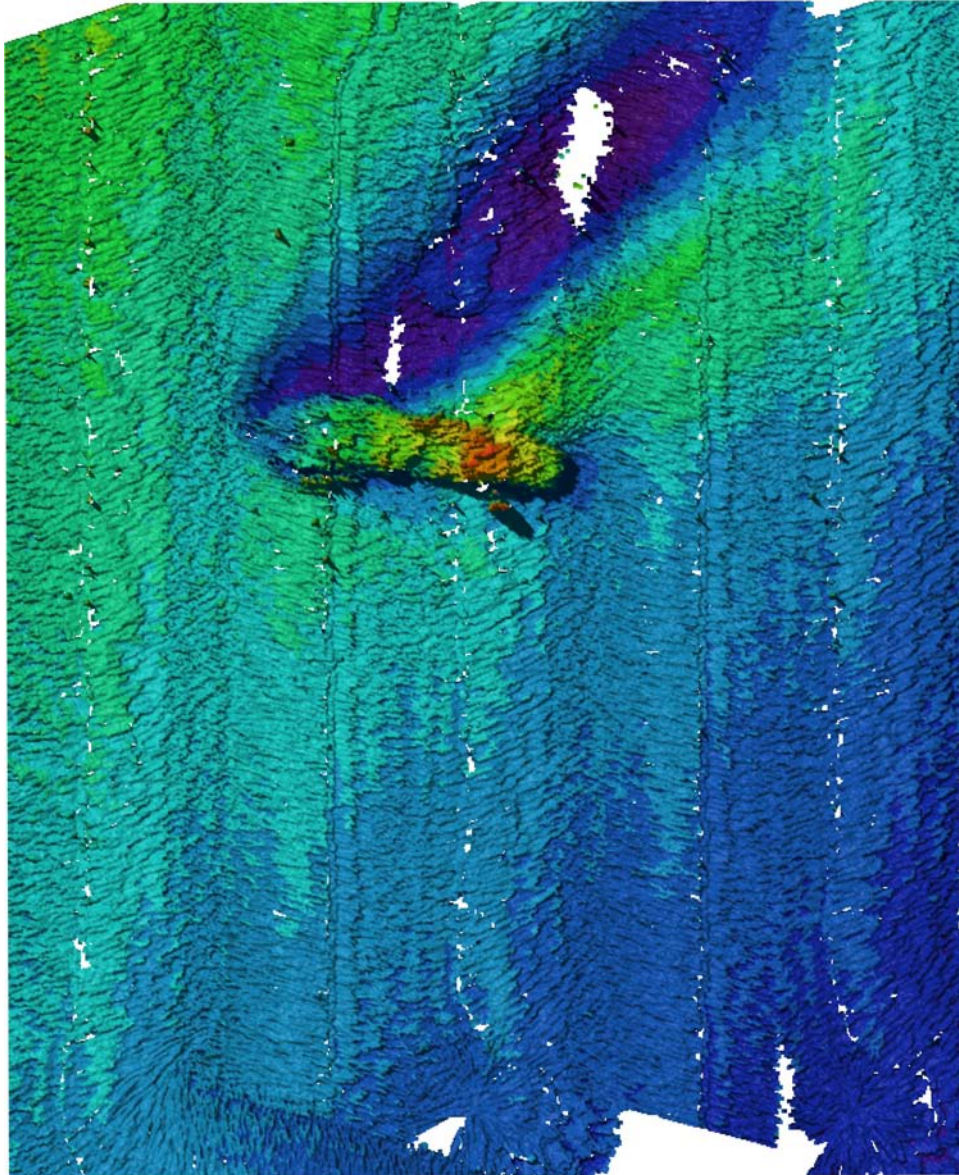


**2012 Battle of the Atlantic Research Design:
Cataloging North Carolina's WWII Coastal Heritage**



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INTRODUCTION

Since 2008 NOAA's *Monitor* National Marine Sanctuary (MNMS), in conjunction with East Carolina University, and the Bureau of Ocean Energy Management has lead archaeological, biological, and historical surveys of World War Two heritage resources off the North Carolina coast. This effort was undertaken to determine baseline preservation values, initiate and support ongoing historical and archaeological research in North Carolina, and to evaluate the significance of this collection in consideration of expansion in the Marine Sanctuary off North Carolina. Previous work included diver surveys and mapping to generate site-plans and photomosaics, as well as remote sensing surveys using multibeam and ROV technology.

The genesis for the project came after any outcry from the local diving community regarding looting on German World War Two U-boat, *U-701*. For nearly fifteen years the site was known to only a small group of divers who purposefully left the wreck undisturbed. In 2004, however, the site became known to the broader diving community and was privileged with the respect of the local diving community, recognizing the resource significant *vis-a-vis* the lack of disturbance upon the site, especially in relation to the two other frequented U-boat sites in North Carolina: *U-85* and *U-352*. Unfortunately, an unknown group of individuals began to illegally recover artifacts off the site. This outraged the diving community, which had hoped to establish a preserve around the site (Allegood 2004; Kozak 2004).

In early 2008, MNMS Superintendent David Alberg received reports of another group planning to illegally recover more material from the site. This information demonstrated the need for a systematic approach to collect baseline data on the site. Subsequent requests for action from Thomas Pröpstl, Consul General at the German Embassy in Washington, D.C., further increased the necessity of carrying out an investigation to proper archaeological standards.

In addition to these critical cultural and political factors, natural forces also justified this project. The site of *U-701*, located in Diamond Shoals off Cape Hatteras, is in an extremely dynamic environment. It is believed, prior to Hurricane Isabel in 2003, the majority of the site was buried under sand. In 2008, however, the site was reported as



uncovered to an extent rarely seen, thus offering a rare opportunity for this type of investigation.

Therefore during the summer of 2008, NOAA's Office of National Marine Sanctuaries (ONMS) in collaboration with East Carolina University (ECU), the National Park Service (NPS), Minerals Management Service (MMS), UNC's Coastal Studies Institute (CSI), and the State of North Carolina initiated a series of underwater archaeological field expeditions to examine the remains of vessels lost during the Battle of the Atlantic in the Second World War. The first of these expeditions was aimed at concerns surround site formation of German U-boats off North Carolina. In particular, the sites investigated were *U-85*, *U-352*, and *U-701*, sunk by US forces in engagements that proved to be very important, but largely forgotten parts of American history. This was the closest European theatre of war to the continental United States and one of the only places in the world where one can visit remains of both Axis and Allied vessels within recreational diving limits. These sites are recognized as valuable cultural, historical, and economic resources for the United States and the state of North Carolina (Farb 1992;Casserley et al. 2008).

In 2009, NOAA ONMS and its partners returned to continue research on World War Two casualties. The focus of the 2009 expedition was on allied military losses. A remote sensing survey aboard NOAA ship *Nancy Foster* re-located and positively identified the remains of *YP-389*, a US Navy patrol craft sunk by *U-701*. The site rested in deep water and survey utilized a Remotely Operated Vehicle (ROV) (Hoyt 2009). Additionally, 2009 fieldwork archaeologically documented the site of HMT *Bedfordshire*, a British anti-submarine trawler, sunk by *U-558* off Cape Lookout, North Carolina. Also during the 2009 field season, with the support of NOAA, researchers at East Carolina University were awarded seed funding by ECU's Coastal Maritime Council for the proposal *The Battle of the Atlantic: an Archaeological Site Management and Environmental Risk Assessment Proposal* (Richards and Allen 2009). This award supported the research of John Wagner, and culminated in an MA thesis entitled *Waves of Carnage: A Historical, Archaeological, and Geographical Study of the Battle of the Atlantic in North Carolina Waters* (Wagner 2010). Wagner input archaeological and historical data into a Geographic Information System (GIS) and performed spatial



analyses to delineate the battlefield area and centers of activity therein. The dataset collected by Wagner serves as the foundation upon which this present study builds.

A third year of survey in 2010 aimed at cataloging site significance and identifying degrading impacts from both environmental and cultural factors upon a collection of World War Two merchant vessels: *Empire Gem*, *E.M. Clark*, *Manuela*, *Malchace*, *Dixie Arrow*, *City of Atlanta* and *British Splendour*, as well as the US Navy Tug *Keshena* lost off North Carolina (Hoyt 2010). From this project it was hoped to obtain combined historical and archaeological assessments of the resources observed. This preliminary investigation established a baseline for future monitoring of the sites as cultural and economic resources and a foundation for future research. Also during 2010, the research undertaken by Richards, Allen, and Wagner led to the preparation of a proposal to the American Battlefield Protection Program (ABPP-National Park Service) which proposed to extend Wagner's historical research to greater archaeological scrutiny via a theoretically explicit battlefield analysis of the North Carolina segment of the Battle of the Atlantic. This funding, awarded in fall 2010, supported two MA thesis projects within ECU, John Bright's *Stalking the Gray Wolf: A KOCOIA Terrain Analysis of the Battle of the Atlantic off the North Carolina Coast* (Bright 2011) and an as yet unnamed project focusing upon visualization of naval battlefields by Stephen Sanchagrin (ECU and RENCI). Combined with funding sources oriented towards management goals corresponding to the 2008-2010 expeditions, a fourth expedition conducted in 2011.

The 2011, the expedition was composed of four separate stages focused on the discovery, characterization, and documentation of submerged cultural resources from World War Two, in particular 1942-1944. Funding sources for this research came from:

- *Phase 1:* ABPP (NPS); the Bureau of Ocean Energy Management, Regulation and Enforcement (BOEMRE); and the Office of National Marine Sanctuaries(ONMS).
- *Phase 2:* CIOERT, NOAA OE; a grant from the Local Programming Development Initiative (GovEd TV, Dare County, NC); and ONMS.
- *Phase 3:* NOAA ONMS; ONMS Maritime Heritage Program (MHP).
- *Phase 4:* NOAA OE; NOAA ONMS; and CIOERT.



These funds were awarded to East Carolina University, the UNC-Coastal Studies Institute, and the *Monitor* National Marine Sanctuary. Additional significant in-kind support has come from:

- Program in Maritime Studies, East Carolina University
- The University of North Carolina-Coastal Studies Institute
- The Renaissance Computing Institute
- National Parks Service: Submerged Resource Center

The primary focus of the 2011 expedition was the KS-520 convoy attack off North Carolina. Historical and archaeological research on the events that unfolded around this convoy offer the potential to study adaptation and tactical behavior displayed by the American Navy in response to the German U-boat threat, a shoehorn to begin defining the Battle of the Atlantic from a behavioral perspective. Additionally, this convoy may be considered the iconic interaction of combatants off the North Carolina coast with structures and debris from both sides believed to still lie on the seabed in immediate geospatial and temporal association. This expedition offered the opportunity to reassess its history, as well as analyze the archaeological record regarding the progression of events during the conflict, and the relationship of human interactions (tactics and responses) with natural parameters within the landscape (currents, water temperature, bottom topography, water depth, etc...).

The historic positions of several participants in this engagement are well known; however, none of these vessels have been located or positively identified. The intent of the 2011 expedition was to employ a wide area survey to search for these vessels. The discovery of remains of Nicaraguan Tanker *Bluefields* and the German *U-576* would add a great deal to the cultural landscape of North Carolina and lend a better understanding of the Battle of the Atlantic through the adaptation and application of battlefield analysis techniques.

This project followed the “multi scalar explanatory approach” endorsed by Conlin and Russell (2011:41-42) as well as the procedures outlined by Lowe (2000) and Babits et al. (2010:5) by utilizing the survey methods pioneered for analysis of terrestrial battlefield sites concerned with understanding the relationship of military theory and



landscape features to the actions of opposing forces. This included a KOCO analysis (an abbreviation of Key terrain, Observation and fields of fire, Cover and concealment, Obstacles, and Avenues of approach/retreat) (Lawhon 2002:36) that has become the preferred analytical technique of the American Battlefield Protection Program (ABPP).

The 2011 field season was successful in covering a large area of seabed with low resolution imagery. The detail was such that 47 anomalies were identified, but could not be characterized. It is the intent to return to the 2011 target area to conduct higher resolution surveys of the anomalies in an attempt to identify targets. As such the approach and methodology for phase II of 2011 will be effectively redeployed.

Additionally, the 2012 survey will endeavor to collect baseline data on additional WWII merchant vessels of the coast of North Carolina. Several sites are known to be located off the coast but NOAA and partners have little or no actual data on the sites. The focus will be on site characterization, predominantly via photo and video, as well as traditional survey techniques outlined in the methodology section. The target for these in water assessments will be to gain as much data on each site in order to verify identity where in question, and to determine site integrity based on National Historic Preservation Standards for Register eligibility.

HISTORICAL BACKGROUND

The Battle of the Atlantic began mere hours after Britain declared war on Germany in September of 1939, and would last until Germany's surrender in May, 1945. This extensive naval engagement between Allied, Axis, and neutral forces constituted the longest single operation of the Second World War, and was "the longest, largest, and most complex naval battle in history" (Syrett 1994:ix). Civilians, sailors, soldiers, marines, and coast guardsman engaged in combat, and in turn gave their lives, in a dire struggle for seapower in the Atlantic. Retired Royal Navy escort group commander, Donald MacIntyre (1961:11), wrote of the battle's importance to the entire Allied war effort

[as] an aspect of naval warfare, which on account of its often hum-drum nature is apt to be looked upon as a side-show, a back-water of the main stream of naval operations, yet which is in fact the



whole purpose of seapower and in which an island power must either decisively win or be driven to abject surrender.

He could not have been more correct. The flow of war materiel into Great Britain via the Atlantic was the lifeblood of the Allied war effort against Germany, and Germany nearly severed it. Though the Battle of the Atlantic was not witness to spectacular fleet engagements such as those fought in the Pacific, it was nonetheless of supreme strategic importance. At stake was the last bastion of resistance in Europe to Hitler's dreadful war machine.

Following America's entry into the Second World War, German U-boat raiders attacked merchant shipping off the United States' east coast with astonishing success. What ensued came to be known as the "American turkey shoot," with nearly 200 merchant vessels sunk between January and April of 1942 (Cheatham 1990:11). Inaugurated by Germany's initial offensive, code named "Operation Paukenschlag," this "Atlantic Pearl Harbor" was the prelude to nearly five months of unchecked German commerce raiding (Gannon 1990:xvii-xviii) on the east coast. Slowly, though, combined Allied naval forces resisted, and ultimately forced withdrawal of German forces haunting American waters. Hard fought, yet far from over by the end of 1942, the Battle of the Atlantic all but left the eastern shores of the United States.

What follows is a historical account of one engagement during the Battle of the Atlantic: the KS-520 convoy battle off the North Carolina coast. A great deal of writing has already dealt with many aspects of the battle (Morison 1947;; Macintyre 1961, 1971; Hughes and Costello 1977; Hoyt 1978, 1984; Gibson 1986; Hoyt 1987; Gannon 1990; Syrett 1994; Blair 1996; Kaplan and Curry 1997; Kemp 1997; Gannon 1998; Kaplan and Curry 1998; Wiggins 1999; Blair 2000; Hague 2000; Miller 2000; Showell 2002; Brennecke 2003; Ireland 2003; Westwood 2003; Blake 2006; Showell 2006; White 2006; Brown 2007; Williamson 2010); these sources discuss the battle in its totality, its tactics and technology, regional histories, or personal accounts. Unlike previous studies, however, the present narrative seeks to connect the larger strategic objectives and operations of the Battle of the Atlantic to the battlefield area off the North Carolina coast. In particular, in so much as these provide the context to understand the often skimmed



over KS-520 convoy battle. Though only a single naval action, KS-520, in fact, marks a shift in strategic initiative off America's eastern seaboard. The significance of this shift would reverberate throughout the entire Atlantic. Once the Allies drove German U-boats from American waters, German hopes of dominating Atlantic seapower were lost.

The importance of the Battle of the Atlantic, though not well known to the public, has been extensively studied by historians, and is generally viewed as keystone to allied victory in Europe. For example, naval historian Michael A. Palmer (2007:259) has noted, "without victory in the battle of the Atlantic, there never would have been a second front in Europe," and "had the Allies failed at sea, the impact along the Russian front would have been enormous." In other words, the conflict precipitated by U-boat predations on Atlantic commerce had massive potential global implications for eventual Allied victory. Furthermore, this extensive naval engagement between Allied, Axis, and neutral forces constituted the longest single operation of the Second World War.

Project Dates and Participants

Location: NOAA Vessel R-8501 will operate out of Beaufort , NC.

Dates:

STAGE ONE

July 9: Arrival and Staging (potential evening departure for survey area)

July 10-12: Operational in Survey Area

July 13: Reprovisioning/Service day

July 14-17: Operational in Survey Area

July 18: Breakdown and Departure

STAGE TWO

July 19: Arrival and Staging

July 20 – August 3: Dive Operations in Survey Area (weather dependent)

August 4: Project end/departure SRVx disembark in Norfolk, Va

Participants:

STAGE ONE:



Joseph Hoyt (NOAA)- Co-PI
John Wagner (NOAA) – Co-PI
Frank Cantelas (NOAA-OER) /Vitad Pradith (NOAA-OCS) - Alternating
John Kloske (SRI) – AUV Survey Director
Steve Untiedt (SRI) – AUV Tech
Charlie Cullins (SRI) – AUV Tech
BOEM –TBD
John McCord (UNC-CSI)
Pasquale DeRosa (CPC) – Captain
CPC Vessel Crew – TBD
CPC Vessel Crew – TBD

STAGE TWO

Joseph Hoyt (NOAA)- PI –RB Diver
Nathan Richards (UNC-CSI) – Co-PI
John McCord (UNC-CSI)
Dave Sybert (UNC-CSI)
Tane Casserley/Russ Green (NOAA) (alternating) – RB Divers
Hans Van Tilburg (NOAA) – RB Diver
NPS Diver – TBD
BOEM – TBD
Pasquale DeRosa (CPC) – Captain
CPC Vessel Crew – TBD
CPC Vessel Crew – TBD



METHODOLOGY

Historical Methodology

Research regarding the Battle of the Atlantic is both extensive and varied. Numerous works have focused on the general conflict (Morison 1947; Macintyre 1961, 1971; Hughes and Costello 1977; Gannon 1990; Howarth and Law 1994; Syrett 1994; Gannon 1998; Ireland 2003; Williams 2003; White 2006) while others the development and operations of German and allied craft (Frank 1955; Willoughby 1957; Scheina 1982; Hoyt 1984, 1987; Blair 1996; Grove 1997; Kaplan and Currie 1997; Kemp 1997; Kaplan and Currie 1998; Wiggins 1999; Blair 2000; Hague 2000; Miller 2000; Showell 2002; Westwood 2003; Showell 2006; Watson 2006; Brown 2007). Several studies dealt specifically with the eastern seaboard and the North Carolina Coast (Stick 1952; Hoyt 1978; Gentile 1989; Hickam 1989; Cheatham 1990; Gannon 1990). Additionally, due to the adjacency of the Gulf Stream, the concentration of historically significant and recreationally accessible wrecks has attracted shipwreck divers to the area since the 1960s. As a result, numerous popular dive guides were written for divers in North Carolina, often containing thorough research into individual vessel histories and positional information (Farb 1992; Gentile 1992, 1993, 2006; Bunch 2003; Galecki 2005).

Several historical archives will be accessed for primary documents during this project. National Archives and Records Administration (NARA) maintains multiple repositories with documents relating to the Battle of the Atlantic. The National Archives Building, in downtown Washington, D.C., houses records of the United States Coast Guard in Record Group (RG) 26 of interest are vessel logs, and operational reports. The National Archives II in College Park, Maryland, houses analogous records for the United States Navy. These holdings include:

- The Bureau of Ships
- Naval Personnel Records (including deck logs)
- Chief of Naval Operations
- The Bureau of Ordnance
- Naval Districts and Shore Installations



Furthermore, Archives II houses still photography and cartographic records for the United States Navy and Coast Guard, including maps, and photographs of ships, installations, and miscellaneous operations. The National Archives Mid-Atlantic Region facility in Philadelphia contains records from the Philadelphia and Norfolk Navy Yards, in addition to records from the Fifth Naval District, as part of its holdings within RG 181. Of particular interest would be merchant ship files regarding the manning and provisioning of armed merchant vessels.

Additionally, The Mariners' Museum Library holds an extensive collection of photographic material, which will be consulted, and the library and archives at the Merchant Marine Academy in Kings Point, New York is also believed to contain a variety of primary source materials such as deck logs, and incident reports.

Though historical research leans heavily upon primary sources, several secondary sources will also be useful in fulfilling the three historical research goals. Several publications can be utilized for additional spatial data (Gentile 1992, 1993; Wagner 2010). Numerous sources have been written regarding German and Allied naval technology, tactics, and training (Morison 1947; Stick 1952; Frank 1955; Willoughby 1957; MacIntyre 1961, 1971; Hughes and Costello 1977; Scheina 1982; Hoyt 1984, 1987; Gentile 1989; Hickam 1989; Cheatham 1990; Gannon 1990; Cheatham 1994; Howarth and Law 1994; Syrett 1994; Blair 1996; Grove 1997; Kaplan and Currie 1997; Kemp 1997; Kaplan and Currie 1998; Wiggins 1999; Hague 2000; Miller 2000; Showell 2002; Westwood 2003; Ireland 2003; Williams 2003; Showell 2006; Watson 2006; White 2006; Brown 2007).

Archaeological Methodology

Stage One: Targeted Survey

In June 2011, NOAA and UNC Coastal Studies Institute and other partners conducted a wide area survey of bottomlands near Hatteras Canyon off Ocracoke, NC. The survey focused on identifying material remains of maritime history, primarily WWII shipwrecks. Out of approximately 135 square miles of seafloor, 48 targets were identified. The level of resolution for this wide area survey was such that it allowed researchers to identify



anomalies on the seafloor, but did not provide enough detail to determine the nature of these targets. This project will focus on returning to as many of these potential sites as possible, with the goal of collecting high-resolution imagery. This will be achieved through multibeam SONAR and still photography.

NOAA has evaluated each of these targets and determined which are most likely to yield cultural material based on the data available. Each site was assessed on a number of parameters (reflectivity, acoustic shadow, scour, etc...) and a prioritization was developed. Eight targets have been designated as the highest priority, with a remaining 40, which will be further prioritized and surveyed as time allows.

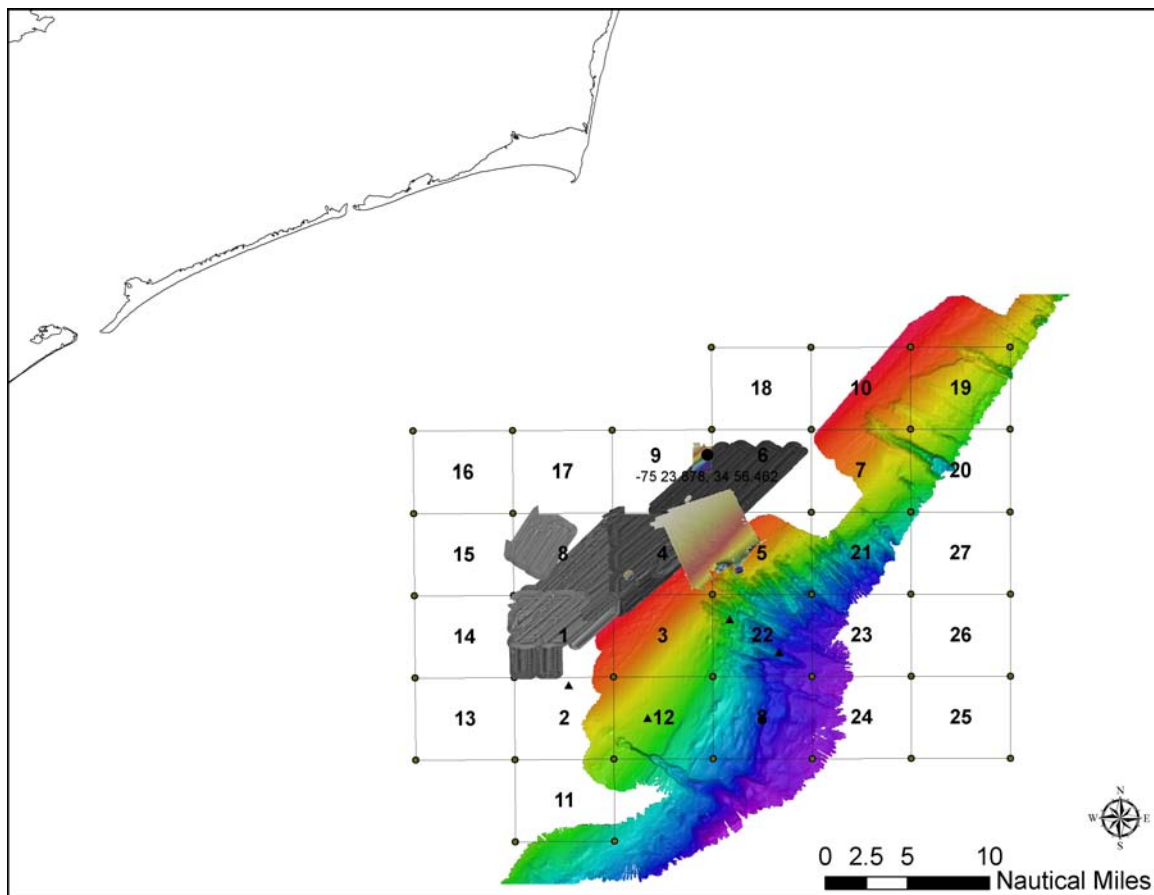


Figure 1.0 Complete coverage map of surveyed area including FY09 and FY11 data (NOAA).

This outlines the area and coverage maps of previous survey and describes in details the location of each site. The target output files collated below



are images obtained from the wide area assessment. Each of these has been ranked in priority as it is suspected that time and financial restraints will prevent the research team from accessing all 48 targets. It is suspected that given reasonably predictable weather conditions that a 10-day operational window can expect to yield 6-7 actual days of collecting data. If this is the case (or better) 2 sites are likely to be surveyed at a minimum per day. At this rate it is expected that 12-14 sites will be surveyed. This includes all high-priority targets as well as some secondary sites as well.

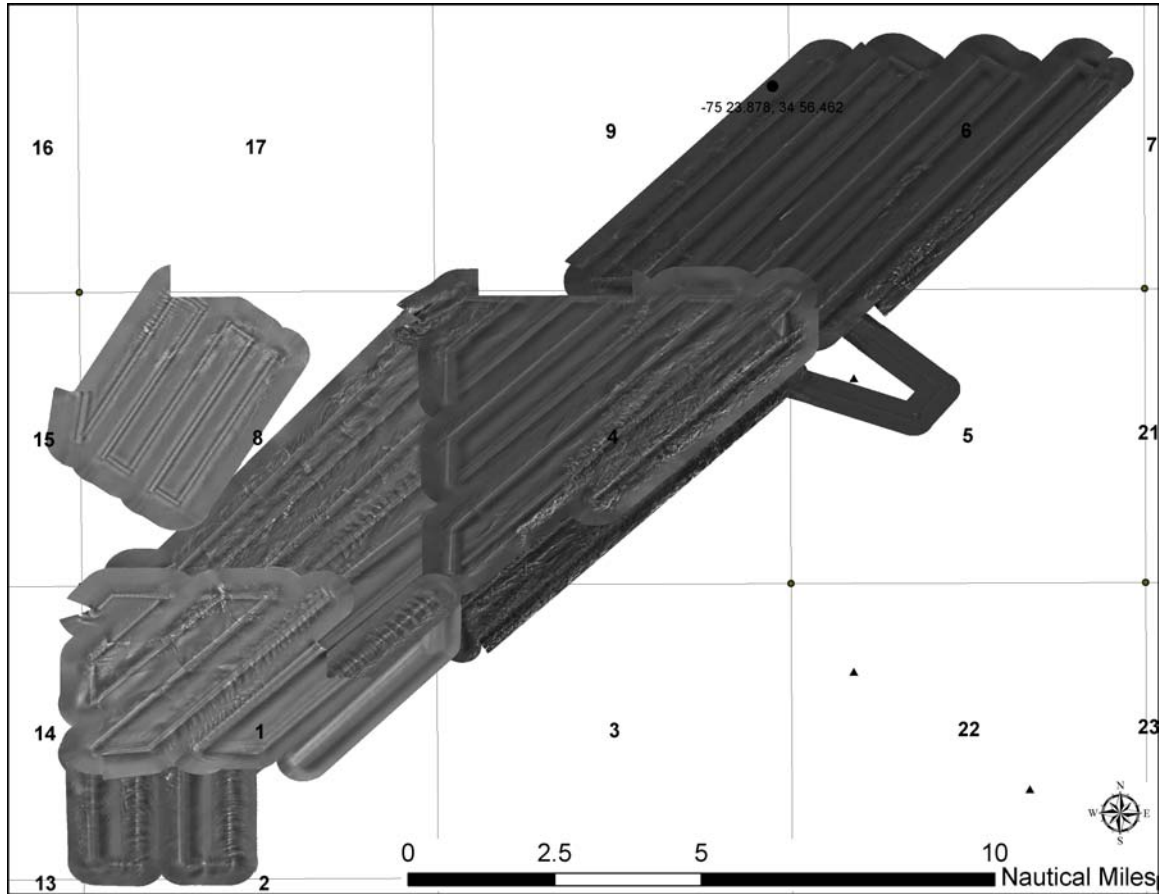
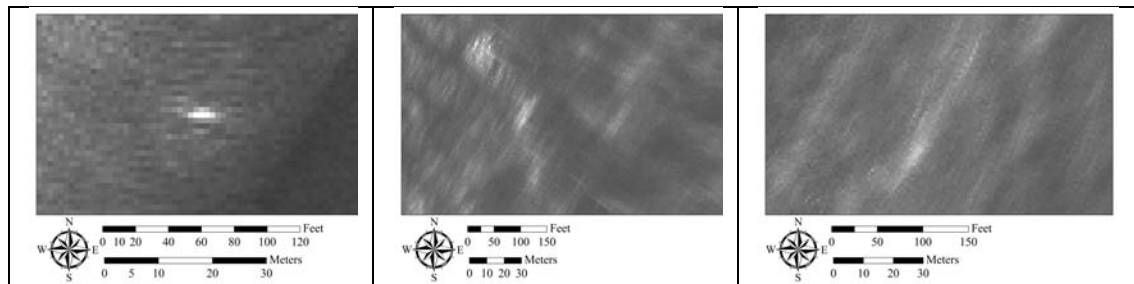
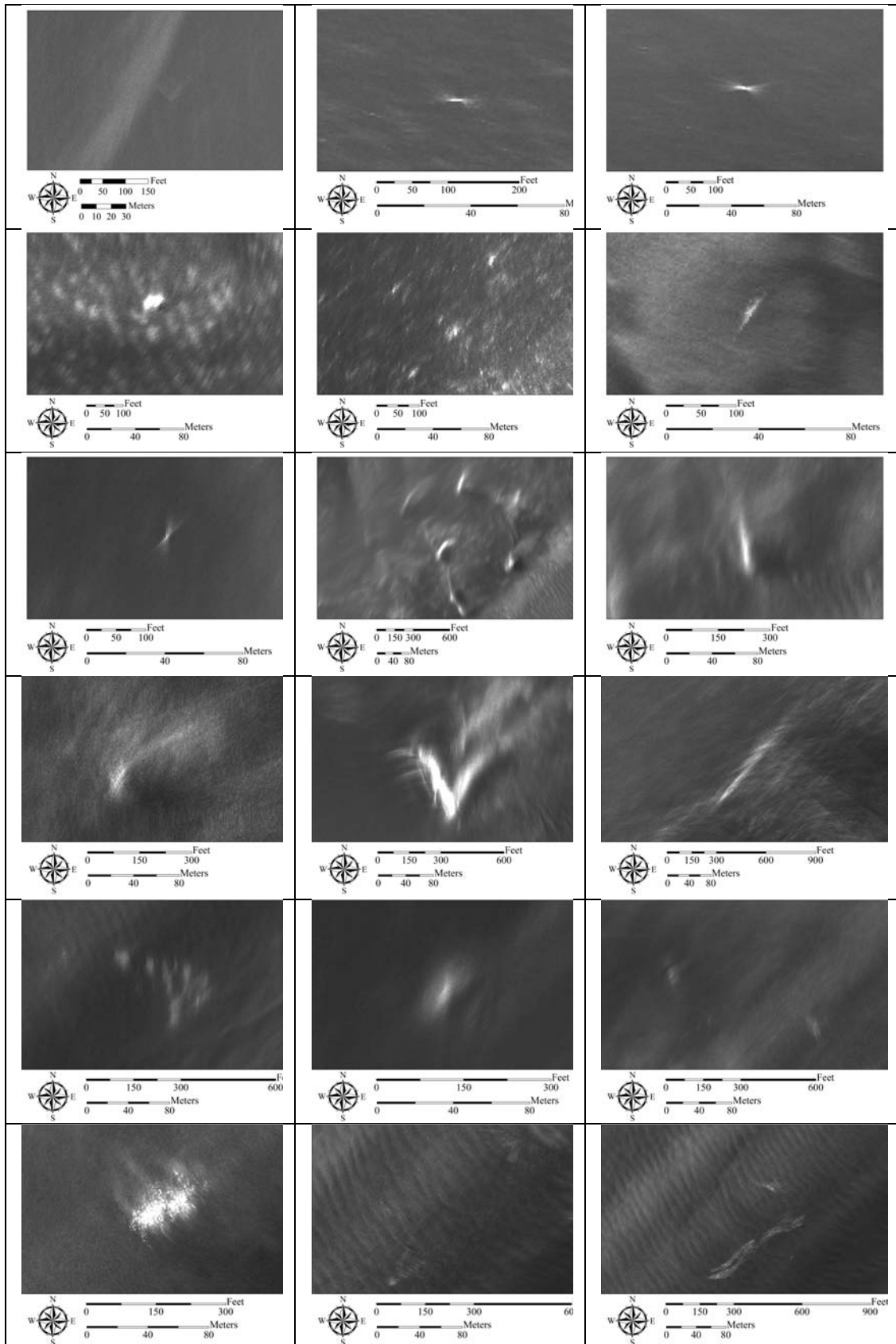
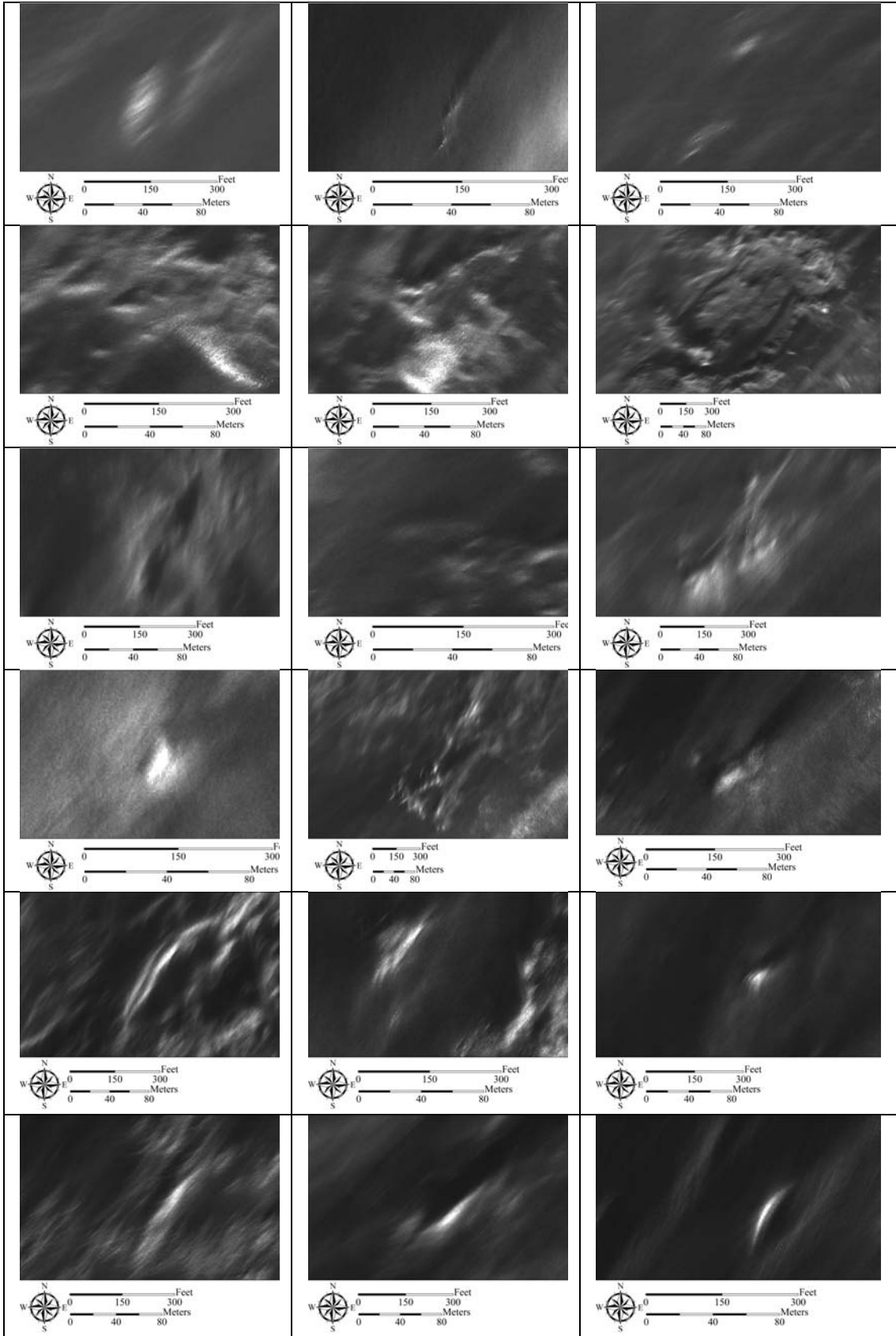


Figure 1.1 Complete coverage map of data collected with ARL:UT AUV survey (ARL:UT).







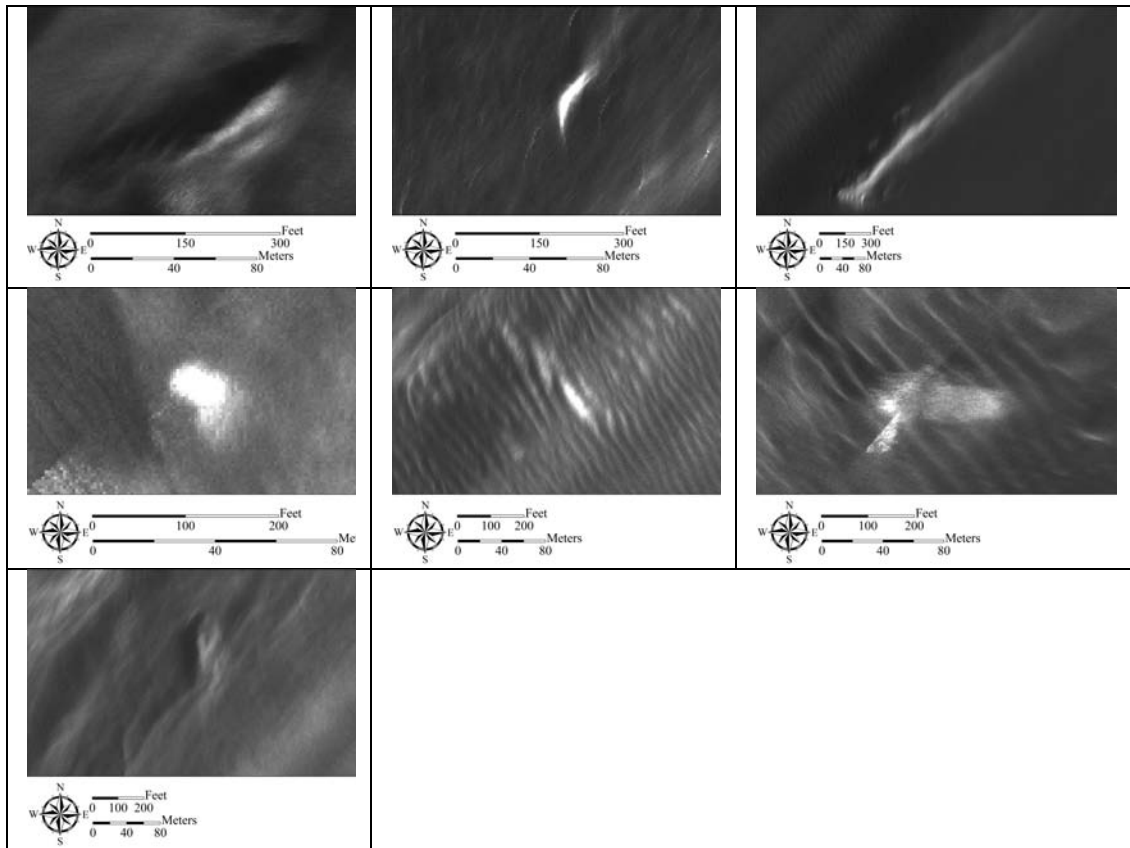


Table 1.3 Exported geo-rectified anomalies identified during wide area survey. Tiles are organized numerically (refer to table 0.0) based file names (ARL:UT – NOAA).

Day	Run	Reflect	Scour	Shadow	L-m	Iso.	Seabed	Passes	R-m	File-id
3-Jun	1	High	None	None	5	Y	Sand	1	37	6030101
3-Jun	1	Medium	None	Yes	93	Y	Sand	2	188	6030102
3-Jun	1	Low	None	Yes	55	Y	Sand	1	190	6030103
3-Jun	1	Low	None	None	16	Y	Sand	1	483	6030104
4-Jun	1	High	None	None	9	Y	Sand	1	304	6040101
4-Jun	1	High	None	None	14	Y	Sand	1	395	6040102
4-Jun	1	High	None	Yes	18	Y	Sand	1	0	6040103
4-Jun	1	High	None	None	9	N	Sand	1	101	6040104
4-Jun	1	Medium	None	Yes	23	Y	Sand	1	127	6040105
4-Jun	1	High	None	None	7	Y	Sand	1	326	6040106
4-Jun	1	High	Yes	Yes	58	N	Mixed	1	365	6040107
4-Jun	1	High	None	Yes	52	Y	Mixed	1	285	6040108
7-Jun	1	Medium	Yes	Yes	89	Y	Sand	1	217	6070101
7-Jun	1	High	Yes	Yes	111	Y	Sand	2	445	6070102
7-Jun	1	High	None	None	150	Y	Sand	1	352	6070103
7-Jun	2	Medium	None	Yes	103	Y	Sand	1	140	6070201
7-Jun	2	Medium	None	Yes	22	Y	Sand	1	328	6070202
7-Jun	2	Medium	None	Yes	43	N	Sand	2	100	6070203
7-Jun	2	High	None	None	53	Y	Sand	1	0	6070204

7-Jun	2	Low	None	None	170	Y	Sand	1	56	6070205
7-Jun	2	Medium	Yes	Yes	150	Y	Sand	1	0	6070206
7-Jun	2	Medium	Yes	Yes	55	Y	Sand	2	476	6070207
7-Jun	2	Medium	Yes	Yes	30	Y	Rock	1	230	6070208
7-Jun	2	Medium	None	Yes	45	N	Sand	1	350	6070209
7-Jun	2	High	None	Yes	105	N	Rock	1	80	6070210
7-Jun	2	High	None	Yes	86	N	Rock	1	151	6070211
7-Jun	2	High	None	Yes	48	N	Rock	1	154	6070212
7-Jun	2	Medium	None	Yes	105	N	Rock	1	255	6070213
7-Jun	2	Medium	None	Yes	46	N	Rock	1	220	6070214
7-Jun	2	High	Yes	Yes	110	N	Rock	1	235	6070215
7-Jun	2	High	None	Yes	16	N	Rock	1	116	6070216
7-Jun	2	Medium	None	Yes	94	N	Mixed	1	194	6070217
8-Jun	1	High	Yes	Yes	49	Y	Mixed	1	55	6080101
8-Jun	1	High	None	Yes	95	N	Rock	1	266	6080102
8-Jun	1	High	None	Yes	52	N	Rock	1	213	6080103
8-Jun	1	High	Yes	Yes	27	N	Mixed	1	235	6080104
8-Jun	1	High	Yes	Yes	67	N	Rock	1	235	6080105
8-Jun	1	High	Yes	Yes	80	N	Rock	1	386	6080106
8-Jun	1	High	None	Yes	48	N	Rock	1	400	6080107
8-Jun	1	High	Yes	Yes	78	Y	Rock	1	146	6080108
8-Jun	1	High	Yes	Yes	37	Y	Sand	1	199	6080109
8-Jun	1	High	Yes	Yes	70	Y	Sand	1	250	6080110
9-Jun	1	High	None	None	18	Y	Sand	1	138	6090101
9-Jun	1	High	Yes	None	40	Y	Sand	1	182	6090102
9-Jun	1	High	Yes	None	81	Y	Sand	2	0	6090103
9-Jun	1	Medium	Yes	Yes	76	Y	Sand	1	207	6090104

Table 1.0 Spreadsheet depicting select evaluation criteria for 47 anomalies observed during wide area survey (NOAA).

Each wreck site will be mapped using SRI's 12.75-in. diameter AUV equipped with high frequency multibeam sonar. For the initial site survey, to accurately locate a given wreck and to determine the extent of the debris field and maximum vertical relief, the AUV will be operated at an altitude of 10-20 meters above the maximum known relief of the shipwreck (**Figure 2**). For the following dive, the AUV will be programmed to fly at a reduced altitude of 5-10 meters above the maximum measured vertical relief of the shipwreck (**Figure 3**). This approach will produce sub-decimeter resolution data sets, which should be more than adequate to detect general shipboard structures. The AUV's calibrated inertial navigation system (INS) will provide the artifact locations geodetically accurate to within a few meters. For an individual shipwreck site, the data from all the multibeam sonar dives will be combined into a single 3D point cloud data product. This will provide an intuitive 3D model for viewing the area and determining the disposition of the wreck, detect site artifacts, and provide surrounding bathymetry. Georeferenced images (GeoTIFFs and JPGs with World files) and/or microbathymetric maps will also be produced for use with various GIS programs (**Figure 4**). As time and conditions permit selected sites will be photographed using an

Imenco SDS 1210 digital stills camera with an external strobe both provided by NOAA. The SRI AUV payload and support system includes the following components:

- Low frequency (260 kHz) Delta-T Multibeam sonar for initial site safety surveys
- High-resolution, custom BlueView multibeam sonar to create microbathymetric maps and 3D scenes
- Sensor payload data logger and control module. This includes support for powering and logging of sonar data and powering and control of the SDS 1210 digital stills camera with an external strobe
- Calibrated ultra-short baseline (USBL) system with depth telemetry for precise underwater tracking and INS updates via acoustic modem
- AUV safety systems: acoustic modem, RF beacon, light strobe, and emergency drop weight
- F190 GPS positioning and attitude system for sub-decimeter topside support
- Fledermaus software for sonar data processing and 3D visualization
- NOAA and SRI are also collaborating to incorporate a GoPro video housing into the AUV payload.

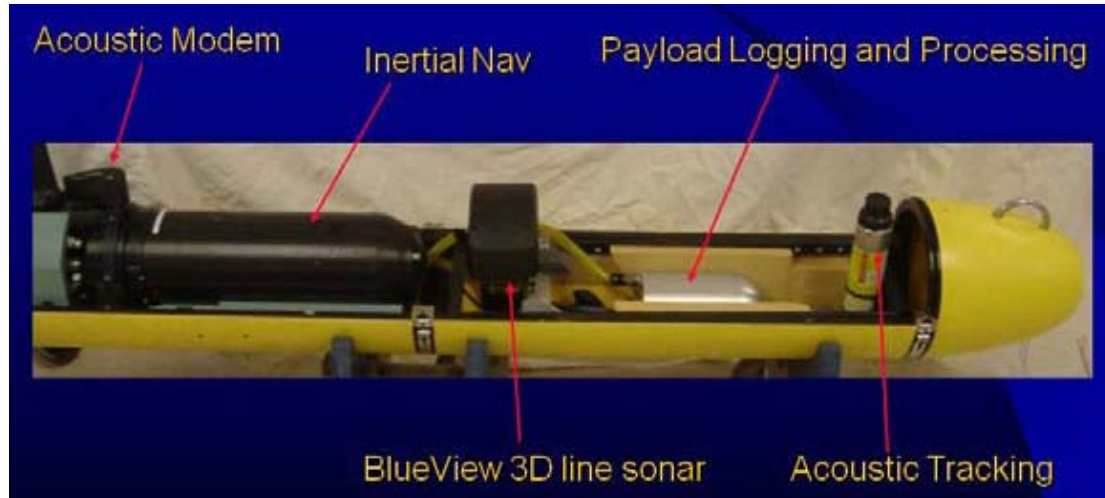
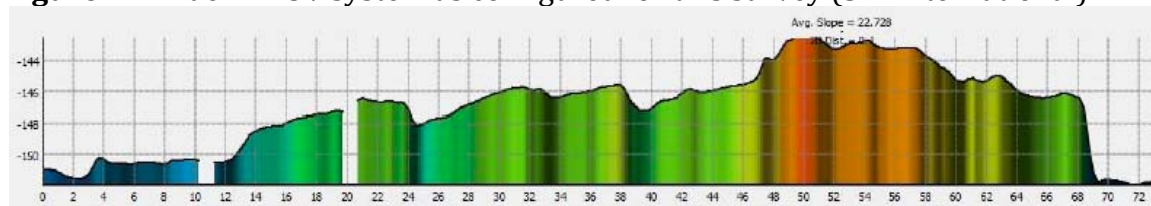


Figure 1.4 Bluefin AUV system as configured for this survey (SRI International)



High Resolution Survey Setup

1. Fly at a constant depth of 130 meters, 3 knots (10 m altitude safety)
2. Line Spacing 5 m (MB1350 swath 8 m)
3. Conduct North-South Survey 100 m (N-S) x 150 m wide (E-W)
4. At 3 kts (1.5m/s) approximately 1.5 hr survey

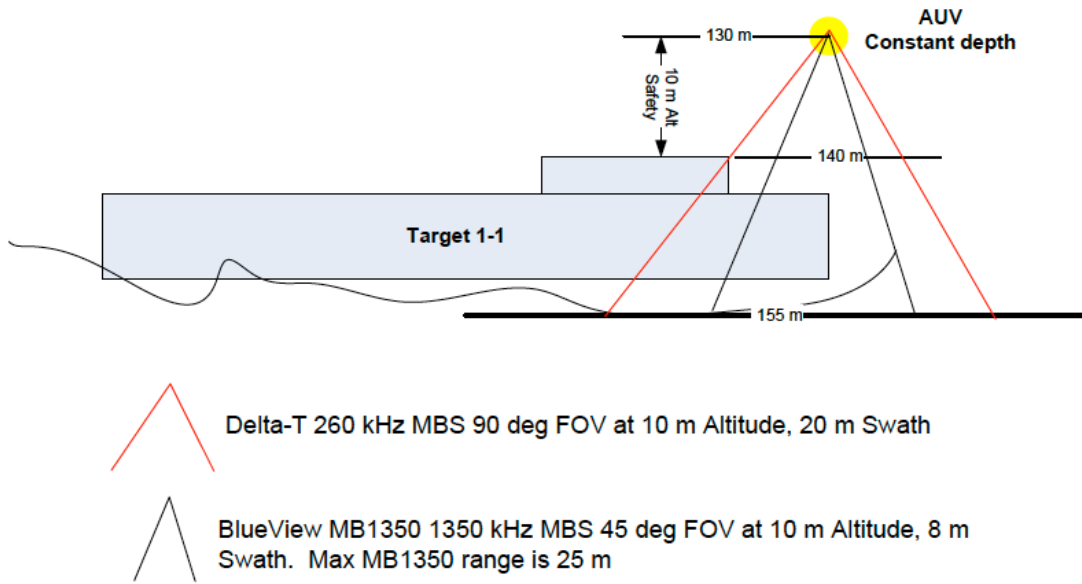


Figure 1.5 This graphic describes the process of each AUV dive as conducted on Target 1-1 (SRI International).

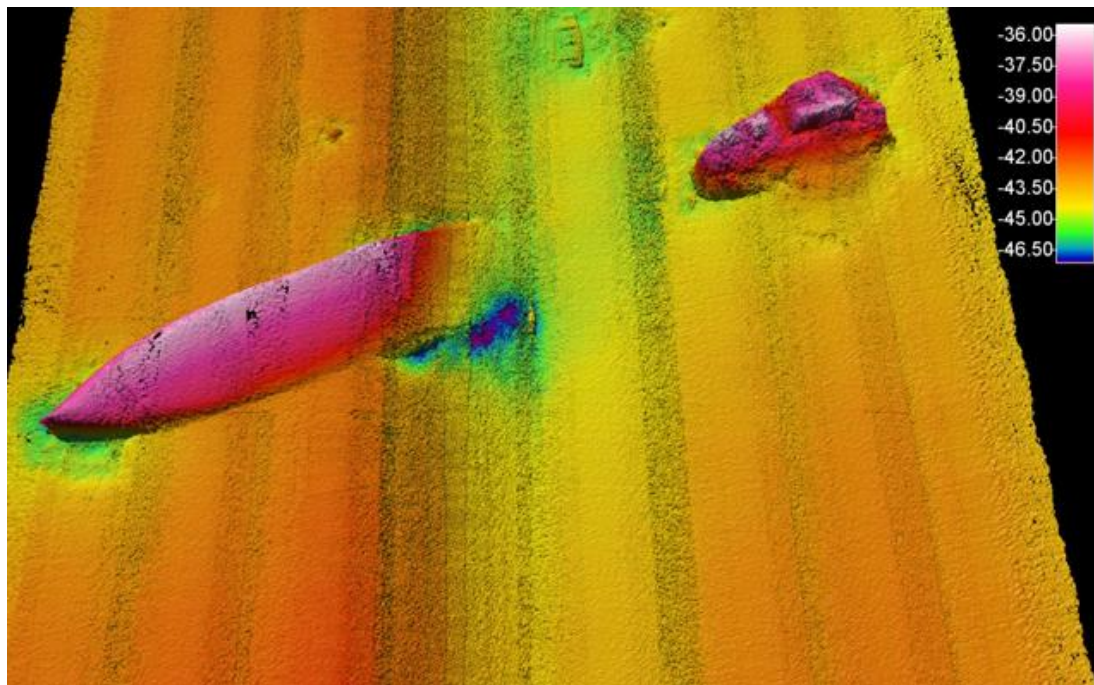


Figure 1.6 High resolution test site of the WWII Tanker *Empire Gem* (SRI/NOAA).

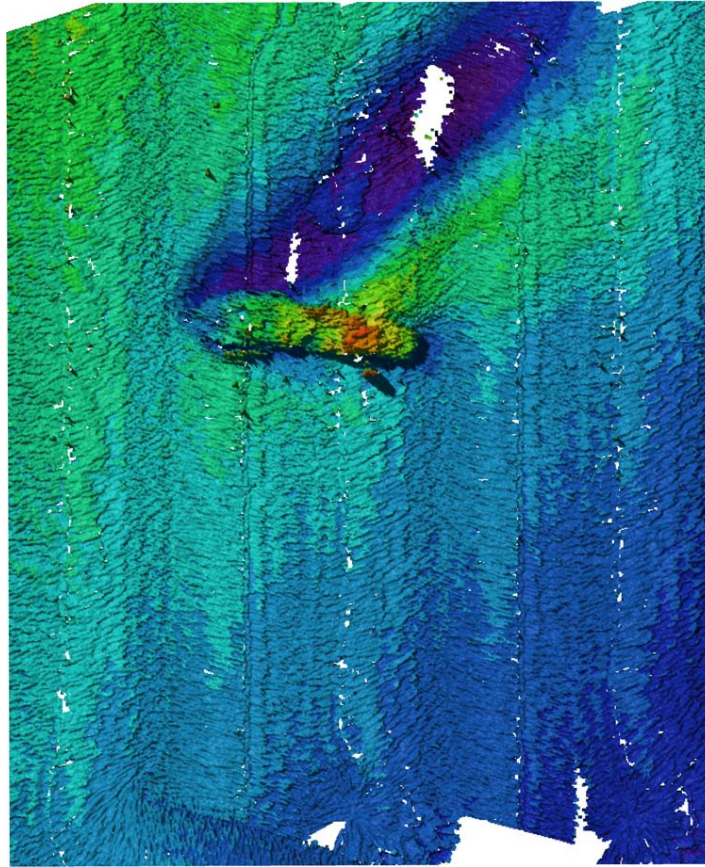


Figure 1.7 Medium resolution survey of Target 1-1 (SRI/NOAA).

Stage Two: Diver Based In-Water Assessments

This phase of the project will focus heavily on WWII sites known or believed to be in the area on which NOAA and partners have little or no data recorded. In some cases locations and tentative identifications are posited and not confirmed. It is the intent to access these sites with the purpose of conducting a detailed assessment and creating a comprehensive and accurate GIS database of WWII wrecks off North Carolina. The following spreadsheet list the sites and prioritizes them based on proximity to operational inlets, depth and level of known information. The sequence of accessing these sites will be adaptable based on prevailing weather conditions and various operational restrictions.

Vessel Name	LAT_DD	LONG_DD	DATA	Depth	ID	Inlet	Tier	DATA Needs
W.E. Hutton	34.143692	-76.652352	MB	125.00	Y	Beaufort	2	Imagery
Suloide	34.544786	-76.894991	MB	65.00	Y	Beaufort	2	Imagery
Naeco (Stern)	34.025336	-76.648065	MB		Y	Beaufort	2	Imagery
Esso	33.879095	-77.226790	MB	120.00	Y	Beaufort	2	Imagery

Nashville								
Cassimir	33.965843	-77.030853	MB	120.00	Y	Beaufort	2	Imagery
Caribsea	34.633333	-76.316667	MB	80.00	Y	Beaufort	2	Imagery
Byron Benson	36.149100	-75.246200	MB	105.00	Y	Oregon	2	Imagery
Australia	35.122200	-75.333300	MB	110.00	Y	Hatteras	2	Imagery
Atlas	34.528332	-76.241872	MB	125.00	Y	Beaufort	2	Imagery
Ario	34.499330	-76.897870	MB	70.00	N	Beaufort	2	Imagery
Ashkhabad	34.378100	-76.361400	NONE	55.00	Y	Beaufort	1	ALL
Empire Thrush	35.196700	-75.233333	NONE	65.00	Y	Hatteras	1	ALL
F.W. Abrams	35.083333	-75.666700	NONE	90.00	Y	Ocracoke	1	ALL
John D. Gill	33.841700	-77.458300	NONE	90.00	Y	Cape Fear	1	ALL
Liberator	34.079600	-75.391300	NONE	120.00	Y	Hatteras	1	ALL
Malchace	34.604200	-75.786900	NONE	205.00	Y	Beaufort	3	ALL
Equipoise	36.600000	-74.750000	NONE	140.00	N	Oregon	1	ALL
Chenango	36.416700	-74.916700	NONE	140.00	N	Oregon	1	ALL
Marore	35.550000	-74.966670	NONE	130.00	Y	Oregon	1	ALL
Norvana	36.116700	-75.383300	NONE	110.00	Y	Oregon		ALL
Panam	34.166670	-76.083300	NONE	480.00	N	Beaufort	3	ALL
Papoose	35.433333	-75.183330	NONE	200.00	N	Hatteras	3	ALL
San Delfino	35.666667	-75.066670	NONE	110.00	N	Hatteras	1	ALL
HMT Senateur Duhamel	34.551700	-76.605000	NONE	60.00	Y	Beaufort	2	ALL
USCG Bedloe	35.483500	-75.249600	NONE	80.00	Y	Oregon		ALL
USCG Jackson	35.483500	-75.249600	NONE		Y	Oregon		ALL
Venore	35.016667	-75.533330	NONE	90.00	N	Hatteras	1	ALL
Equipoise	36.600000	-74.750000	NONE	140.00	N	Oregon	1	ALL

Table 0.0 Sites which may be assessed during the 2012 field season.

Site specific files will be kept on the vessel to aid in identification while in the field. This research design identifies several goals and questions to be addressed during the investigation. These assessments are designed to gather enough data on each site to determine National Register eligibility and follow the guidelines of the National Historic Preservation Act. The goals proposed include:

- 1) Assessing the historical significance and archaeological integrity of each individual site;

- 2) Determining if the resources are eligible for nomination to the National Register of Historic Places;
- 3) Identify to what degree is site preservation influenced by environmental formation processes and cultural impact;
- 4) Determine whether or not the sites warrant further investigation;
- 5) Complete a thorough exterior survey of each site and artifact inventory;
- 6) Produce a site map (or photomosaic) of each site for interpretation and as a representation of baseline data for use in follow-up inquiry and future monitoring at the sites;
- 7) Complete a detailed video and photographic surveys of the sites.

In order to answer these questions, the survey goals are designed to recover data that would identify the sites, and contribute to their nomination to the National Register of Historic Places. Only through site documentation and the recording of diagnostic features and artifacts can the nomination process be completed.

Scope and Limitations

As with any project, certain limitations are present that are taken into account in preparing the expedition. Fiscal constraints limit the amount of time and the availability of resources, which typically governs the duration of the project. As conditions off North Carolina vary, predicted days of inactivity are built-in and personnel will spend time processing data sets during this time.

Additionally, the sites locations also pose limitations underwater. High and variable currents may be present, and visibility may range from zero to more than one hundred feet. These factors produce differing degrees of in-water efficiency from day-to-day. Furthermore, the depth of the sites, ranging from 90-240 feet deep, greatly limits the amount of time that can be spent on site each day.

The sites being treated as graves, also presents some limitations that will be meticulously observed. This limits the survey to exterior observations only. In addition, the research team will not conduct any exterior work that would impact or disturb the site in any way. This precludes, establishing permanent baselines or removing or manipulating anything on-site.

Personnel and Equipment

The overall project will be planned and conducted by the NOAA, Office of National Marine Sanctuaries *Monitor* National Marine Sanctuary, in cooperation with Bureau of Ocean Energy Management as the lead agencies.

Equipment used will include traditional survey instruments such as fiberglass measuring tapes, slates, mylar sheets, clinometers, and straight edge scales. These



instruments will be used to recover detailed measurements of the site and the data will later be transferred to a master site plan. Photographic and videographic data will be acquired using a range of instruments.

Each partner will be providing particular equipment, including remote sensing equipment, dive gear, corrosion analysis equipment, and photographic equipment. All data recovered during this project will become available for use by NOAA.

Environment

Each site lies in a dynamically different environment. The waters off of North Carolina, Cape Hatteras in particular, are an interface for two major oceanic currents. Coming down from the north are cold waters of the Labrador Current. From the south flows the warm waters of the Gulf Stream. The two currents carry with them different properties and support very different ecosystems. The position of the target sites is such that each lies within this interface (Figure 4.2).

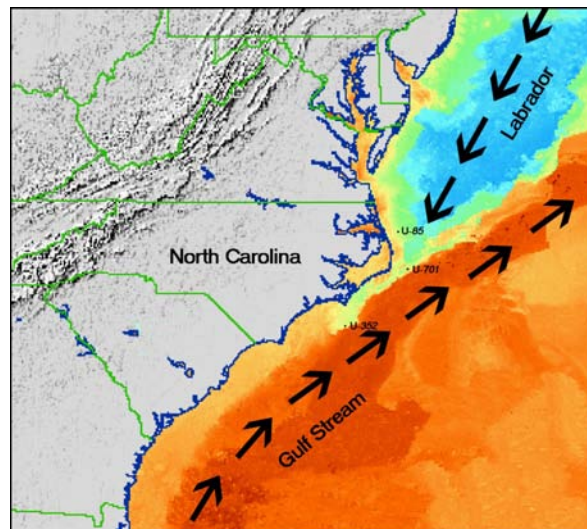


Figure 4.2. Image showing the convergence of oceanic currents

These sites lie in potentially highly dynamic area where the Gulf Stream and the Labrador collide. This creates a high degree of variability in currents and has a noticeable effect on shifting sands, creating deep scours and deposits which shift continually. This is believed to cause periods of episodic scour and fill-in at these sites.

In-Water Documentation

1. Documentation of the sites by generating detailed site plans and recording diagnostic features
 - (a) Identify and record diagnostic structural features such as deck machinery, hatches, etc.
 - (b) Identify and record hull damage due to the sinking event

- (c) Identify and record hull damage caused to the sites post-sinking due to natural and/or man-made causes
 - (d) Identify and record all exposed artifacts within the sites immediate vicinity
 - (e) Identify, record, and determine the extent of hazardous material remaining on the site while maintaining all safety protocols
2. Create scaled photo-mosaics of the sites by generating plan and profile photo-mosaics and supplement with hull measurements
 - (a) Conduct plan view photo-mosaic survey by video documenting sites using the photo-mosaic sled as a platform coupled with digital sonar to maintain a minimum of 30 ft. above the subject
 - (b) Conduct profile and oblique photo-mosaics surveys by video documenting sites using the photo-mosaic sled or scooters as a platform coupled with digital sonar to hold a constant distance from the sites and depth gauge to hold a constant depth while moving from bow to stern
 - (c) Combine photo-mosaic data with the diver generated site plans
 3. Intensive video and photo documentation of the hull and diagnostic features
 - (a) Video/Photograph hull and diagnostic hull features from all angles
 - (b) Video/Photograph diagnostic artifacts from all angles with scaling device
 4. Identify and document areas on the sites to monitor hull and structural degradation over time
 - (a) Select features on the bow, amidships, and stern that would best illustrate hull and structural degradation over time
 - (b) Document the extent of the features degradation
 - (c) Clearly identify the features on the site plans for future reference
 - (d) Document the list on the sea floor by calculating the degree of angle with a clinometer to determine the current pitch and roll of the hull
 5. Document artifacts, and any hazardous material, *in situ* showing their spatial relationships viz a viz the rest of the shipwreck
 - (a) Video, measure, and record exposed artifacts, and hazardous material *in situ*, and their relation to the rest of the site
 - (b) Identify artifacts with diagnostic features and makers' marks

Assessment

1. Identify the sites and make recommendations for future management
 - (a) Identify sites name and type
 - (b) Assess if historical accounts coincide with archaeological interpretations
 - (c) Assess whether additional fieldwork is needed
 - (d) Nominate the site to the National Register of Historic Places
 - (e) Make suggestions for public interpretation

2. Determine if remaining artifacts are threatened and/or have historical significance
 - (a) Identify artifacts of historical significance or unique type
 - (b) Identify artifacts of duplicative objects
 - (c) Evaluate danger to artifacts if left undisturbed

3. Determine if there are environmental hazards remaining at the sites and make recommendations for their possible removal or neutralization
 - (a) Identify environmental hazards at the site and contact the appropriate federal government oversight agency (*i.e.* U.S. Coast Guard)
 - (b) Identify ordnance at the site and contact the U.S. Navy, and NOAA General Consul
 - (c) Make recommendations for the possible removal or neutralization of any environmental hazards that balances public safety with preserving the historical significance and integrity of the site

4. Determine the site stability and integrity of each site and make recommendations for its long term preservation
 - (a) Assess site damage and determine if it was caused by the sinking event or post-sinking
 - (b) Evaluate post-sinking hull damage/alterations and determine causes based on environmental and cultural considerations.
 - (c) Evaluate long-term hull integrity and make recommendations for site preservation

In planning for factors beyond control (*e.g.* inclement weather, equipment breakdown, personal illness, poor visibility on the site, etc.) the task list is designed to provide flexibility and adaptability. Dive tasks could require a single dive or multiple dives, but each task is related to a discrete objective. The tasks are prioritized, and some tasks may not be conducted until others have been completed.

Operating within the conditions outlined above the archaeological investigation of these sites will likely produce useful results. These environmental parameters establish the conditions that are potential detractors on site and may have impact on the work conducted. The diving procedures also govern the scope and practicality of each goal set forth. Ultimately the research questions and goals, in tandem with these other limitations and conditions, guide the project. These conditions are important to understand in order to be able to address these conditions as they are encountered.

DIVING ACCIDENT MANAGEMENT PLAN

For Areas in the Vicinity of the *Monitor* National Marine Sanctuary

OFFICE: 757-591-7326



A Diving Accident Management Plan is prepared for each diving locale and operation. The Plan is to be implemented in the event of a diving emergency.

Dive Accident Plan: Conscious and Alert Diving Accident Victim

Evaluate victim's Airway, Breathing, and Circulation (ABCs).

Contact LCDR Joel Dulaigh, USPHS, (NOAA Diving Medical Officer) Seattle, WA
cell – (206) 300-2098.

Activate local EMS - Call 911 to report the diving accident. If unable to contact 911 EMS system, contact U.S. Coast Guard in Hatteras/Ocracoke at 252-475-8205 or hail them on VHF radio, channel 16, to report the diving accident. The EMS dispatcher will notify emergency medical land transportation. Planned destination for treatment: **CHESAPEAKE REGIONAL MEDICAL CENTER**, and the hyperbaric chamber at **CHESAPEAKE REGIONAL MEDICAL CENTER**. Tell the EMS dispatcher where the boat will be docking (Ocracoke Ferry Terminal, Hatteras Ferry Terminal).

Put the victim on 100% oxygen using a positive-pressure/demand oxygen resuscitator.

Evaluate the victim and gather additional information about the incident:

Perform and record results of 5-minute field neurological examination on the affected diver

Gather and record patient vitals and as much information about the dive as possible

Interview the victim's dive buddy for additional information

Evaluate buddy for onset of similar pressure related symptoms

Secure victim's dive gear for examination. (Do not disassemble gear or exhaust any air from the diving system)

If decompression sickness is suspected, or any other type of pressure-related injury (arterial gas embolism, pneumothorax, etc.) allow the victim to remain in the position of comfort (Do not raise the victim's legs). Place the victim on his/her side if nauseated or vomiting. Always maintain a clear airway.

The victim is to be transported to **CHESAPEAKE REGIONAL MEDICAL CENTER Emergency Room**, Chesapeake, North Carolina, for evaluation. If possible, the victim's buddy should also be transported.

If not nauseated and not experiencing altered level of consciousness, give the victim water to drink during transportation to the **CHESAPEAKE REGIONAL MEDICAL CENTER**.

Continue oxygen administration. Send any and all information about the dive and post-dive observations with the victim to the hospital, including results of field neurological examination.

Keep victim comfortable and observe for shock or changes in condition.

Based on the evaluation by the physician at **CHESAPEAKE REGIONAL MEDICAL CENTER Emergency Room** or equivalent, the victim may be transported to



the **Chesapeake General Hospital Wound Healing and Hyperbaric Medicine** for treatment.

Dive Accident Plan: Unconscious and Non-Responsive Dive Accident Victim

Evaluate victim’s Airway, Breathing, and Circulation (ABC’s)

Call 911 as indicated above or USCG.

Start cardio-pulmonary resuscitation, or rescue breathing using a positive-pressure/demand oxygen resuscitator.

Evaluate the victim and gather additional information about the incident:

Gather and record as much information about the dive as possible

Interview the victim’s dive buddy for additional information

Secure victim’s dive gear for examination (Do not disassemble gear or exhaust any air from the diver’s life support system.)

Transport the victim to the harbor or port facilities closest to the dive site where a local ambulance or medic unit should be standing-by to evacuate the victim to **CHESAPEAKE REGIONAL MEDICAL CENTER Emergency Room**. If possible, the victim’s buddy should also be transported. If there is a problem transporting the victim to the nearest harbor or if the time delay is significant (>2 hours), call or radio the USCG at 1-252-475-8205 or VHF – channel 16, to arrange air evacuation of the victim. The USCG air evacuation team will coordinate with **CHESAPEAKE REGIONAL MEDICAL CENTER WOUND HEALING AND HYPERBARIC MEDICINE CENTER**. Site personnel should review procedures and prepare for helicopter evacuation.

Medical Assistance and Recompression Chamber Contact Information Emergency DMO Contacts:

Primary recompression chamber facility:

Chesapeake Regional Medical Center: Wound Healing and Hyperbaric Medicine Center
 736 Battlefield Blvd., North. Chesapeake, VA 23320
 Chamber Phone: 757-312-6510
 24 hour phone: 757-312-8121
 ER: 757-312-6200

Emergency DMO Contacts:

Contact	Phone numbers
Local EMS	911
USCG	1-252-475-8205 or VHF Channel 16
Joel Dulaigh, LCDR, USPHS, DMO	(206) 300-2098 (cell)
MOC-P Medical Officer on call	(206) 409-8725 (cell)
MOC-A Medical Officer on call	(757) 615-6619 (cell)



Divers Alert Network:

- i. Duke University Medical Center, Durham, N.C.
(919) 684-9111 (24 hour emergency telephone number)

Secondary recompression chamber facility:

- ii. Duke University Medical Center, Durham, N.C.
- iii. 919-684-6726 (24 hour emergency telephone number)

Tertiary recompression chamber facility:

- iv. Bon Secours DePaul Medical Center.
- v. 757-889-5770 (24 hour emergency telephone number)

Monitor NMS Office:

- vi. 100 Museum Drive, Newport News, Va 23606.
- vii. 757-599-3122
Joe Hoyt-Principal Investigator
Work: 757-591-7336
Cell: 252-412-2008

David Alberg-Superintendent
Work: 757-591-7326
Cell: 757-8694291

Non-Emergency Vessel Problems:

- viii. Tow Boat US, Hatteras, NC.
- ix. VHF: Channel 16
- x. 252-475-0690

Note: Before commencing dive operations, the Divemaster will contact the primary recompression chamber to ensure that the chamber is operational and available to receive patients. If the primary chamber is not operational, alternate facilities should be contacted.



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